

12-21-01

Attorney Docket No.: C1039/7058

Box 156A

11057 U.S. PTO
12/18/01**UTILITY
PATENT APPLICATION
TRANSMITTAL**(Only for new nonprovisional applications
under 37 CFR 1.53(b))Attorney Docket No. **C1039/7058**

First Named Inventor or Application Identifier

Davis, Heather L.Express Mail Label No. **EL844453390US**Date of Deposit **December 18, 2001**11057 U.S. PTO
12/18/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☒ **Fee Transmittal Form**
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
☐ Statement filed in prior application, status still proper and desired.
3. ☒ **Specification - Total pages 71**
59 - pages description
1 - pages abstract
11 - pages claims 98 - Total claims
4. ☒ **Drawing(s) (35 USC 113) 12 - Total sheets**
☐ Informal ☒ Formal 12 - Total drawings
5. ☒ **Oath or Declaration - Total pages**
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
[Note Box 6 below]
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ **Incorporation by Reference**
(usable if Box 5b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 19.
7. ☒ **Application Data Sheet, See 37 CFR 1.76**

ADDRESS Box Patent Application
TO: Commissioner for Patents
Washington, DC 20231

8. ☐ CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix)
9. ☒ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☒ Computer Readable Form (CRF)
 - b. ☒ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper (identical to computer copy)
 - c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☒ Assignment Papers/cover sheet & documents(s)
11. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
☐ Power of Attorney
12. ☐ English Translation of Document (if applicable)
13. ☐ Information Disclosure Statement/PTO-1449
☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
16. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
17. ☐ Request and Certification Under 35 U.S.C. §122(b)(2)(B)(ii)
18. ☐ Other:

100239009-121801

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/325,193, filed on June 3, 1999, entitled Use of Nucleic Acids Containing Unmethylated CpG Dinucleotide as an Adjuvant and now pending.

☐ Amend the specification by inserting before the first line of the specification on page 1, after the title, the following:

Prior application information: Examiner A. Salimi Group Art Unit: 1648.

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

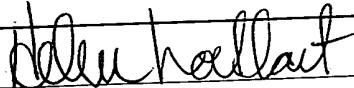
Correspondence address below

CUSTOMER NUMBER:



23628

OR

ATTORNEY'S NAME	Helen C. Lockhart, Reg. No. 39,248				
FIRM NAME	Wolf, Greenfield & Sacks, P.C.				
ADDRESS	600 Atlantic Avenue				
CITY	Boston	STATE	MA	ZIP	02210
COUNTRY	USA	TELEPHONE	(617) 720-3500	FAX	(617) 720-2441
20. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED					
NAME	Helen C. Lockhart, Reg. No. 39,248				
SIGNATURE					
DATE	December 18, 2001				

Inventor or Identifier: Health L. Davis et al.

Serial No: Not yet assigned

Filed: Herewith

For: USE OF NUCELIC ACIDS CONTAINING
UNMETHYLATED CpG DINUCLEOTIDE AS AN
ADJUVANT

CHECK BOX, if applicable:

☐ DUPLICATE

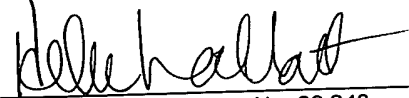
Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	35-20=	15 x	\$18	= \$ 270.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	1-3=	0 x	\$84	= \$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$280	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
	Total of above Calculations =				\$ 1,010.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$
	TOTAL =				\$ 1,010.00

1. A check in the amount of \$1,010.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 or ☒ 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.



Helen C. Lockhart, Reg. No. 39,248
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500
Attorneys of Record

Docket No. C1039/7058(HCL)
Date: December 18, 2001

InTransCo, Inc.

INTERNATIONAL TRANSLATION COMPANY

TRANSLATIONS FROM AND INTO ALL LANGUAGES PREPARED BY SPECIALISTS

P.O. BOX 239
LYNNFIELD, MA 01940
(781) 334-3123
FAX (781) 334-4445

CERTIFICATION OF TRANSLATION

Title of Translated Document: Certificate of Name Change

Original Language of Translated Document: German

The undersigned declares that:

1. I am a professional translator with English as a native language and German as an acquired language. With over thirty years of full-time translating experience in general, business, technical, chemical and related fields.
2. To the best of my knowledge and belief, the attached is a true, accurate and complete English translation of the above-referenced German document.

Date:

1/12/01

Signature:

A.M. Russell
A.M. Russell

1002300-121801
T08T2T-605E200F